Programs and Strategies

Guy McCusker Logic and Interaction 2012



Alternative title

Game semantics for programs

- Game semantics models *programs* as certain kinds of *strategies* on games.
- Different sorts of programs give rise to different sorts of strategies.
- Properties of strategies give an *abstract* characterization of computational behaviour.



Every program corresponds to a something



Every program corresponds to a strategy



Every program corresponds to a strategy

... and every (finite) strategy comes from a program

Game Semantics

- We will see how game semantics gives models with definability for:
 - pure functional programs
 - imperative programs
 - programs with control operators
 - programs with higher-order store

Strategies and games

- Game semantics models a program as a strategy for a game.
- Games define what moves are available.
- Constraints on strategies limit their behaviour.

Constraints and effects

Constraining strategies with:	means you don't get:
Innocence	Store
Bracketing	Control
Visibility	Higher-order store



Every program corresponds to a strategy

... and every (finite) strategy comes from a program

... and behavioural properties of strategies classify programs

1. Pure functional programs

Typed lambda calculus

- Terms: $M ::= x | \lambda x.M | MM$
- Types: $A ::= \gamma | A \rightarrow A$
- Normal forms: $\lambda x_1 x_2 \dots x_n \cdot x_i M_1 M_2 \dots M_k$

Normal forms as trees



Normal forms as trees



A path in the tree

- Root node
- Choice of variable
- Choice of argument
- Choice of variable







- View the type as a tree: each → connects a node to its parent.
- Describe a term in normal form by playing a two-player game:
 - Opponent interrogates the term by choosing branches
 - Player represents the term by choosing head-variables

• At Opponent's turn, he chooses a descendent of Player's last move.

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- At Player's turn, he chooses a descendent of any previous O-move
 - the justification pointer tells us which one

Strategies

- A strategy σ is a set of even-length plays of the game:
 - non-empty and even-prefix-closed
 - deterministic: sab, sac $\in \sigma \Rightarrow b=c$.
- Set of plays \approx tree = (partial, infinite) normal form.

Definability...

A strategy σ is *total* if it always has a response:

$$s \in \sigma$$
, sa legal $\Rightarrow \exists sab \in \sigma$

Finite, total strategies correspond to normal forms.

... but not yet a model

- We can interpret every *normal form* as a strategy.
- We do not yet have an interpretation of arbitrary λ -terms.
- We want one! And we want it to be compositional.

Problem: asymmetry

- These plays and strategies are asymmetric:
 - Opponent always has to move directly down the tree
 - Player can backtrack.
- Felscher (1985) referred to these as *E*-strategies.

Composition as interaction?

- The natural way to compose strategies would be by interaction.
- The asymmetry means our strategies cannot interact properly.

A failing interaction

 $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma))$



 $\lambda f.\lambda z.f(f(z))$

A failing interaction $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma)) \rightarrow (\gamma \rightarrow \gamma)$



A failing interaction

 $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma)) \rightarrow (\gamma \rightarrow \gamma)$







- The solution to this asymmetry was discovered by Hyland and Ong (Inf. Comp. 2000), and was also present in the work of Coquand (JSL 1995).
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in the term

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- The idea is:
 - let both players backtrack
 - recover definability by constraining the
Restoring symmetry: innocence

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smart

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- Pointer-chains are paths in the arena.



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Views

- We want Player to behave as though O were not backtracking.
- At any point in the play, we can *erase* certain moves to give a *P-view* which disguises backtracking:



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Innocent strategy

- An *innocent strategy* σ on an arena is a set of even-length plays such that:
 - σ is non-empty and closed under evenprefix
 - σ is deterministic
 - if sab $\in \sigma$, t $\in \sigma$, and view(sa) = view (ta) then tab $\in \sigma$

Example: a noninnocent strategy $((Y \rightarrow Y) \rightarrow (Y \rightarrow Y)) \rightarrow (Y \rightarrow Y)$























We have a model $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma))$



 $(\lambda f.\lambda z.f(f(z)))$

We have a model $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma))$



$(\lambda f.\lambda z.f(f(z)))$







Soundness

Fact [cf. Hyland-Ong 2000]

If M:A \rightarrow B and N :A are normal forms, the strategy obtained by

- allowing the strategies for M and N to interact
- hiding the play in A

is the total, innocent strategy corresponding to the normal form of MN.

Soundness

- Proving soundness is hard work.
- We approach it by showing that innocent strategies have the structure of a Cartesian closed category.
- CCCs are just what is needed to make a sound model of the λ -calculus.

A category

- We can build a category of arenas and innocent strategies:
 - Objects are arenas
 - Morphisms are innocent strategies
 - Composition is interaction plus hiding
 - Identity is the copycat strategy

A category

- We can build a category of arenas and innocent strategies: you
 - Objects are arena
 have to show that this preserves
 - Morphisms are inpoint innocence!
 - Composition is interaction plus hiding
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Copycat strategies

Copycat strategies $\lambda f.f$ $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma))$

Copycat strategies $\lambda f.f$ eta-expand $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma))$

Copycat strategies $\lambda f. \lambda x. fx$ $((\gamma \rightarrow \gamma) \rightarrow (\gamma \rightarrow \gamma))$

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Finally we show that the category has products and exponentials:

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 $A \times B$



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After proving that these behave properly, we get soundness.

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 - an innocent strategy is determined by the E-strategy it contains
 - finite, total E-strategies correspond to terms.

Literature note I: abstract machines

The soundness of interaction of strategies as a model of λ -application means that it can be used as a kind of *abstract machine* for computation. See e.g.

Danos, Herbelin and Regnier, Games Semantics and Abstract Machines, 1996.

Curien and Herbelin, Computing with Abstract Böhm Trees, 1998.

Literature note 2: innocence semantically

- We have presented innocence as a syntactically-inspired condition.
- The work of Melliès on Asynchronous Games shows that it can be recovered from semantically-inspired considerations to do with *permutability* of moves.

Melliès, Asynchronous Games 2: The True Concurrency of Innocence, 2006.

2. Adding data and recursion: PCF

PCF

- So far we have only considered *logic* rather than programming.
- Plotkin's language PCF is a prototypical functional programming language.
 - Typed λ -calculus with base types for numeric and boolean values.
 - Constants for arithmetic and boolean operations.
 - Recursion.

PCF

- Types: $A ::= \mathbf{N} | \mathbf{B} | A \rightarrow A$
- Terms: $M ::= x | \lambda x.M | MM$

| n | succ | pred | true | false ...

| if-then-else | Y

• We will focus on PCF over the Booleans.

A Game for the Booleans

- We introduce an arena with *data* to model the Booleans:
 - q tt ff
- Note: this is the same as the arena for the type $\gamma \rightarrow \gamma \rightarrow \gamma$ which encodes Booleans in the λ -calculus.



Exercise

- In the λ -calculus, write down the term corresponding to if-then-else, when Booleans are encoded using $\gamma \rightarrow \gamma \rightarrow \gamma$
 - true is $\lambda x.\lambda y.x$, false is $\lambda x.\lambda y.y$
 - if-then-else (over Booleans) is...?
- Compare the corresponding strategy to the one just indicated.

Recursion

- Strategies are sets of plays.
- Directed unions of innocent strategies are innocent strategies, and composition preserves them.
- We can therefore define *least fixed points*, which lets us interpret recursion in the usual way.
- Of course, we abandon *totality*.

Definability for PCF?

- The CCC of arenas and innocent strategies therefore contains a model of PCF.
- Does it have the definability property?
- Is there a class of "normal form" PCF terms that correspond to the finite innocent strategies?

Normal Forms

- What might a normal form look like?
- Something like this:

 $\lambda x_1 x_2 \dots x_n$ if $x_i M_1 \dots M_k$ then N_1 else N_2

• Does every strategy behave like this?

Early exits

Consider a strategy which plays as shown below:



This does not correspond to any PCF-definable term.

Bracketing condition

Our normal forms

 $\lambda x_1 x_2 \dots x_n$ if $x_i M_1 \dots M_k$ then N_1 else N_2

and in fact all PCF terms, satisfy a bracketing condition:

no question q is answered until all questions asked after q have been answered

Bracketing condition

- Add a label to moves in arenas: every move is a question or an answer. In a play, an answer move *answers* the question it points to.
- A play s satisfies *P-bracketing* if and only if: for all prefixes *ta* of s, where *a* is a P-answer, *a* answers the last unanswered question in view(*t*).

Formalities

- An innocent strategy σ is well-bracketed if every play $s \in \sigma$ is well-bracketed.
- Composition of well-bracketed strategies yields a well-bracketed strategy.
- The category of arenas and well-bracketed innocent strategies is a CCC; it contains our model of PCF.

Definability for PCF

Theorem [Hyland-Ong 2000]

Every finite, innocent, wellbracketed strategy corresponds to a term of PCF

- "Finite" means "finite as an E-strategy", i.e. containing a finite number of distinct views.
- The proof is a direct extension of the one for λ -calculus.



3. Control

Bracketing vs Control

- The bracketing condition restricts functions to a stack discipline for calls and returns.
- In programming terms, this means the absence of control operators.
- Can we make that correspondence precise?

Add a control operator

Add your favourite control operator to PCF.
For example, add an empty type ⊥ and a constant

callcc:
$$((\mathbf{B} \rightarrow \bot) \rightarrow \mathbf{B}) \rightarrow \mathbf{B}$$

• How do we model this?

Interpreting \perp as the one-move arena, we model callcc with the following strategy:

$((\mathbf{B} \rightarrow \bot) \rightarrow \mathbf{B}) \rightarrow \mathbf{B}$

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Definability

This gives us a model of PCF + callcc, with a definability property.

Theorem [Laird 1997]

Every finite, innocent, (not necessarily well-bracketed) strategy corresponds to a term of PCF + callcc.

Definability for PCF +callcc



Games and linear continuations

- This result demonstrates that the games model is a continuations-based model, slightly disguised.
- Laird (2005) shows that the well-bracketed strategies are exactly those obeying a certain *linear continuation passing* regime:
 - answers are continuations that can be invoked at most once.

4. Beyond innocence: state

Non-innocent strategies

- Strategies without the innocence constraint form another CCC.
- Our analysis so far has exploited a tight correspondence between views and paths in syntax trees.
- If we abandon innocence, what do we get?















Changing minds

- How can a program change its responses like this?
- Using state!

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```
\lambda F.\lambda x.new v:= true in
F(\lambda y. if !v then v:=false; return y
else F(...))
```

Stateful strategies

- Non-innocent strategies *directly* represent stateful computation.
- The state itself is *implicit*: what we see in the strategy is the *behaviour* implemented by using the state.
- Contrast with explicit-state models, e.g. those based on a state monad.

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Idealised Algol

 Reynolds's Idealised Algol is a prototypical higher-order imperative programming language

> IA = PCF + assignable variables + block structure

Idealised Algol

 Reynolds's Idealised Algol is a prototypical higher-order imperative programming language

> IA = simple while programs + block structure + λ-calculus

Idealised Algol

- Types: $A ::= comm | exp | var | A \rightarrow A$
- Terms: M ::= PCF | x:= M | !x | M ; M

new x in M

Commands and variables

- To interpret commands and variables, we can no longer rely on the analogy with normal-form trees.
- We have to do some semantics!
- Consider the observable actions available for each type, and build an appropriate arena.

• With no explicit store, what can we observe of a command?

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- With no explicit store, what can we observe of a command?
 - We can try to run it:"run"
 - We will notice when it terminates: "done".
 - That's all.

Sequential composition

 $comm \rightarrow comm \rightarrow comm$

r

Р

r

r

d

Variables

- Variables are more complex:
 - we can try to read a value, and get something back
 - we can try to store a value; this is like a command, so we just observe termination.



Assignment

var $\rightarrow exp \rightarrow comments$ q r a write(a) ok

d
x: var, y: var $\vdash x := not (!y) : comm$

x: var, y: var \vdash x := not (!y) : comm

r

x: var, y: var \vdash x := not (!y) : comm

read

x: var, y: var \vdash x := not (!y) : comm read

x: var, y: var \vdash x := not (!y) : comm read b write(not b)

x: var, y: var \vdash x := not (!y) : comm read b write(not b) ok

x: var, y: var ⊢ x := not (!y) : comm read b write(not b) ok

d

c:comm, x:var \vdash x:=true; c; x := not(!x) : comm write(true)

c:comm, x:var ⊢ x:=true; c; x := not(!x) : comm write(true)
ok

c:comm, x:var ⊢ x:=true; c; x := not(!x) : comm write(true) ok

r

c:comm, x: var ⊢ x:=true; c; x := not(!x) : comm write(true) ok r

d

c:comm, x: var ⊢ x:=true; c; x := not(!x) : comm write(true) ok r d read

c:comm, x: var \vdash x:=true; c; x := not(!x) : comm r write(true) ok r d read h









Bad variable behaviour

Bad variable behaviour

 Why don't we try to restrict plays so that the b in the previous example is always "true"?

Bad variable behaviour

- Why don't we try to restrict plays so that the b in the previous example is always "true"?
- The command c could later become bound to something that alters x, so it is *vital* to allow the *read* to return any value.

Local variable behaviour

- If we wrap the term in a variable allocation new x in x:=true; c; x := not(!x)
 - it is no longer possible for c to alter x.
- The variable x becomes a good variable.
- It also becomes *hidden*: the environment should not know it is there.

Variable allocation

- The command *new x in M* is just like *M*, but:
 - x is bound to a storage cell, so M's interactions with x should be variable-like.
 - the outside world should no longer see x.
- How can we model this? Interaction plus hiding!













$\begin{array}{cc} \text{Allocation in action} \\ \text{comm} & \rightarrow & \text{comm} \\ \text{r} \end{array}$

r d

d

Allocation in action comm → comm r d d d

Allocation in action comm → comm r d d

The internal interaction went as expected, and now it is invisible.

A remark

- In this model, only variable allocation requires a non-innocent strategy: terms without new x in ... are interpreted innocently.
- This is a marked difference from explicit state models, where assignment and lookup operations access the state.

Definability?

- We have a model of Idealised Algol, and we can prove that it is sound.
- Does it have the definability property?

Visibility

Our non-innocent strategies are very powerful. E.g.:
 (A → (A → comm) → comm) → comm




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 $(A \rightarrow (A \rightarrow comm) \rightarrow comm) \rightarrow comm$



• Our non-innocent strategies are very powerful. E.g.:

• No Idealised Algol program has this behaviour.

- To eliminate this strategy, we impose a new constraint: *visibility*.
- A play s satisfies P-visibility if, for every prefix tm where m is a P-move, the justifier of m is in view(t).
- (All plays in innocent strategies satisfy this automatically.)







Eliminating such plays lets us recover definability. But how to prove it?

Proving definability

- We cannot directly use the same approach as in the innocent case: strategies no longer correspond to λ-terms.
- We can *reduce* the problem to the innocent case.

Theorem [Abramsky + M 1996] Every well-bracketed, P-visible strategy on A \rightarrow comm is of the form σ ; cell for some *innocent* σ : A \rightarrow (var \rightarrow comm).

Theorem [Abramsky + M 1996] Every well-bracketed, P-visible strategy on $A \rightarrow$ comm is of the form σ ; cell for some innocent σ : $A \rightarrow (var \rightarrow comm)$ **Proof:** innocently simulate the non-innocent strategy by storing the history in the cell.

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Definability for innocent strategies works as usual.

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- Definability for innocent strategies works as usual.
- If M is a term defining σ , then *new x in M* defines σ ; cell.

Another definability result

Theorem [Abramsky + M 1996] Every finite, well-bracketed, Pvisible strategy on $A \rightarrow$ comm is definable by a term of Idealised Algol.



Another definability result?

Probably a theorem that I don't think anyone has actually bothered to prove

Every finite (not necessarily wellbracketed) P-visible strategy on A \rightarrow comm is definable by a term of Idealised Algol + callcc.

5. Beyond visibility: more state

What can general strategies express?

- I am afraid I can no longer pretend to make the progression seem logical: I'll just have to tell you.
- Strategies that may break visibility correspond exactly to programs with higher order store.

Ground vs higher order store

 Because ground-type data can be completely evaluated, assignment was easy to interpret.

```
var \rightarrow exp \rightarrow comm
q
r
a
write(a)
ok
d
```

Ground vs higher order store

 Because ground-type data can be completely evaluated, assignment was easy to interpret.



Ground vs higher order store

How could we do this for a general type A?
 var[A] → A → comm
 r
 q

q'

Ground vs higher order store

• How could we do this for a general type A? $var[A] \rightarrow A \rightarrow comm$ r P this is a non-final Omove; what on earth should we do now?

What is var[A] anyway?

- How can we store a program of type A in a variable?
- We can hardly have a move

write(σ)

where σ is a strategy.

var take two

• An alternate var type, for ground data, is given by

 $exp \times (exp \rightarrow comm)$

- Think of this as the product of
 - the "read method", returning an exp
 - the "write method", taking an exp and returning the assignment command

Definability again

- This gives another way to interpret Idealised Algol, and we can obtain another definability result.
- Perhaps this version of var will generalise to arbitrary types? Define

$$var[A] = A \times (A \rightarrow comm).$$

Assignment and lookup

- The type for the assignment constant is now $(A \times (A \rightarrow \text{comm})) \rightarrow A \rightarrow \text{comm}$
- Now it's easy to interpret, using projection.
- Lookup is similarly simple: just use the other projection

$$(A \times (A \rightarrow comm)) \rightarrow A$$

A cell strategy?

How can we implement a cell strategy of type



A cell strategy?

How can we implement a cell strategy of type



$(A \times (A \rightarrow comm) \rightarrow comm) \rightarrow comm)$

$(A \times (A \rightarrow comm) \rightarrow comm) \rightarrow comm$

$(A \times (A \rightarrow comm) \rightarrow comm) \rightarrow comm$

$(A \times (A \rightarrow comm) \rightarrow comm) \rightarrow comm$ r r

$(A \times (A \rightarrow comm) \rightarrow comm) \rightarrow comm$ r r d
$(A \times (A \rightarrow comm) \rightarrow comm) \rightarrow comm$ r r r d r

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Theorem (approx)

[Abramsky, Honda, M 1998] Every finite well-bracketed strategy on a type $A \rightarrow \text{comm}$ is of the form σ ; cellⁿ for some *P-visible*

 $\sigma: A \rightarrow (var[comm]^n \rightarrow comm).$

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[Abramsky, Honda, M 1998] Every finite well-bracketed strategy on a type A \rightarrow comm is of the form σ ; cellⁿ for some *P*-visible

 $\sigma: A \rightarrow (var[comm]^n \rightarrow comm).$

This means that *arbitrary* well-bracketed strategies can be expressed as a composition of cells storing comm and boolean types.

Definability again

- Our cell strategies allow us to interpret a language where terms of any type can be stored in the variables.
- The factorization result means that we have a definability result once more: every finite strategy is the denotation of some term in this language.

Literature note 3:

- Laird has shown how the ideas at play here can be expressed algebraically, in terms of his sequoidal categories.
- Laird, A Categorical Semantics of Higher-Order Store, CTCS 2002.

Definability for Higher-Order Store



Closing remarks

Conditions classify programs



O is unconstrained

- Our behavioural constraints have all been expressed as conditions on strategies.
- O is free to behave as he wishes.
- This means it makes sense to allow, e.g., a PCF term to interact in an IA context.

Full abstraction

 In each case, we can lift our full completeness (definability) result to a full abstraction result by means of a quotient:

> $\sigma \approx \tau$: A if and only if for all α : A \rightarrow comm, σ ; $\alpha = \tau$; α .

In the case of the imperative languages, this quotient can be defined directly.

